1 H), 3.72 (d, J = 7 Hz, 1 H), 3.76 (s, 3 H), 3.88 (d, J = 7 Hz, 1 H), 4.58 (s, 1 H); IR (thin film) 2985, 2882, 1783, 1767, 1466, 1437, 1393, 1366, 1200, 1100 cm<sup>-1</sup>; mass spectrum (CI<sup>+</sup>, isobutane) m/z (relative intensity) 188 (M + 1, 50), 128 (100), 116 (20), 74 (10). Anal. Calcd for C<sub>9</sub>H<sub>17</sub>NO<sub>3</sub>: C, 57.74; H, 9.15; N, 7.48. Found: C, 57.49; H, 9.18; N, 7.33. A 0.5 M solution of 3 in benzene had an optical density at 337 nm of less than 0.02.

3-Ethyl-2,4,4-trimethyl-1,3-oxazolidine (4). 2-(Ethylamino)-2methylpropanol was prepared as described by Benson and co-workers. Acetaldehyde (13.83 g, 0.314 mol) was added to a round-bottom flask containing 9.20 g (0.0786 mol) of 2-(ethylamino)-2-methylpropanol in 200 mL of benzene at ambient temperature. The flask was equipped with a Dean-Stark head, and the reaction mixture was heated at reflux with stirring until no additional water was collected. Pure 4 was obtained as a colorless liquid (9.33 g, 83%) by vacuum distillation at 55 °C at 8 Torr. The product was characterized from the following data: 'H NMR (CDCl<sub>3</sub>)  $\delta$  0.98 (s, 3 H), 1.04 (t, X of ABX<sub>3</sub> pattern,  $J_{AX} = J_{BX} = 7$  Hz, 3 H), 1.09 (s, 3 H), 1.23 (d, J = 5 Hz, 3 H), 2.28 (A of ABX<sub>3</sub>,  $J_{AB} =$ 13,  $J_{AX} = 7$  Hz, 1 H), 2.59 (B of ABX<sub>3</sub>,  $J_{AB} = 13$  Hz,  $J_{BX} = 7$  Hz, 1 H), 3.52 (d, J = 7 Hz, 1 H), 3.58 (d, J = 7 Hz, 1 H), 4.26 (q, J = 5 Hz, 1 2 H); IR (thin film) 2974, 2594, 1464, 1393, 1302, 1026, 976, 934, 862, 834, 740, 649 cm<sup>-1</sup>; mass spectrum (EI<sup>+</sup>) m/z (relative intensity) 143 (3), 142 (4), 129 (5), 128 (49), 114 (12), 100 (19), 86 (100), 84 (20), 74 (14), 58 (42), 42 (27); high resolution  $M^+$ , m/z 143.1299; calcd for  $C_8H_{12}NO$ , m/z 143.1310. A 0.5 M solution of the purified 4 had an optical density at 337 nm of less than 0.02.

Bi(2-carbomethoxy-3-ethyl-4,4-dimethyl-1,3-oxazolidin-2-yl). A 20 mm o.d.  $\times$  200 mm quartz tube was charged with 2.1 g (11 mmol) of 3-ethyl-4,4-dimethyl-2-carbomethoxyoxazolidine (3), 0.82 g (5.6 mmol) of di-*tert*-butyl peroxide, and 65 mL of spectral grade benzene and equipped with a reflux condenser. The solution was oxygen degassed with prepurified argon for 10 min and irradiated with continuous degassing for 24 h in a Rayonet Photochemical Reactor (Model RPR 100, Southern New England Ultraviolet Co., Hamden, CT) equipped with sixteen 3000-Å lamps. The solvent was removed by rotary evaporation and the residue was purified by flash chromatography with use of a 30 mm o.d. flash column eluting with 20% ethyl acetate/hexanes (v/v). The desired fractions were combined and the solvent rotary evaporated. After air drying 0.60 g (29%) of bi(2-carbomethoxy-3-ethyl-4,4-dimethyl-1,3-ox-

azolidin-2-yl) was collected as a mixture of meso and *dl* isomers. The <sup>1</sup>H NMR spectrum in CDCl<sub>3</sub> showed both stereoisomers: (major isomer, 75%)  $\delta$  1.16 (t, X of ABX<sub>3</sub> pattern,  $J_{AX} = J_{BX} = 7$  Hz, 6 H), 1.28 (s, 6 H), 1.35 (s, 6 H), 2.64 (A of ABX<sub>3</sub>,  $J_{AB} = 12$  Hz,  $J_{BX} = 7$  Hz, 2 H), 3.12 (B of ABX<sub>3</sub>,  $J_{AB} = 12$  Hz,  $J_{BX} = 7$  Hz, 2 H), 3.72 (d, J = J Hz, 1 H), 3.70 (s, 6 H), 3.94 (d, J = 7 Hz, 2 H), 4.14 (s, 2 H); (minor isomer, 25%)  $\delta$  1.05 (t, X of ABX<sub>3</sub>,  $J_{AR} = J_{BX} = 7$  Hz, 6 H), 3.70, (s, 6 H), the other peaks overlapped with the major isomer; mass spectrum (Cl<sup>+</sup>, isobutane) m/z (relative intensity) 285 (M + 1, 35), 185 (10), 142 (100). Anal. Calcd for C<sub>18</sub>H<sub>32</sub>N<sub>2</sub>O<sub>6</sub>: C, 58.05; H, 8.66; N, 7.52. Found: C, 57.92; H, 8.70; N, 7.53.

Attempts To Form 2-Carbomethoxy-3-ethyl-4,4-dimethyl-1,3-oxazolidin-2-yl from Bi(2-carbomethoxy-3-ethyl-4,4-dimethyl-1,3-oxazolidin-2-yl). Bi(2-carbomethoxy-3-ethyl-4,4-dimethyl-1,3-oxazolidin-2-yl) (5 mg) was dissolved in 2 mL of a 1 M solution of silver nitrate in methanol. No metallic silver was formed even upon heating a solution to 100 °C. No EPR signal was observed upon heating an ethanol solution of bi(2-carbomethoxy-3-ethyl-4,4-dimethyl-1,3-oxazolidin-2-yl) to 70 °C in the cavity of an EPR spectrometer.

**Photoacoustic Calorimetry.** The photoacoustic apparatus has been described in detail elsewhere.<sup>20-22</sup> Solutions were photolyzed by a Molectron UV24 pulsed nitrogen laser (337.1 nm, 10 ns pulse width) and the resulting shock waves were detected by a Panametrics V101 transducer (2  $\mu$ s response), amplified by a Panametrics Model 5670 ultrasonic preamplifier and signal averaged with a Tektronix Model 7020 digital oscilloscope. Optical densities were measured with a Hewlett-Packard Model 8450 diode array spectrometer. In all cases, the slope from a plot of the normalized photoacoustic signal versus (1–10<sup>-OD</sup>), where OD is the optical density of the solution at 337 nm, was linear with a correlation coefficient >0.999. The observed fraction of heat deposited in solution,  $\alpha_{obs}$ , was the ratio of the slope of this line for reaction 3 versus that for *o*-hydroxybenzophenone.

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# The Temperature and Medium Dependencies of *cis*-Stilbene Fluorescence. The Energetics for Twisting in the Lowest Excited Singlet State

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Abstract: Fluorescence quantum yields of *cis*-stilbene- $d_0$  and  $-d_2$  were measured as a function of temperature in *n*-hexane and *n*-tetradecane. *cis*-Stilbene fluorescence quantum yields were decomposed into emission contributions from  ${}^{1}C^{*}$ ,  $\phi_{fc}^{c}$ , and adiabatically formed  ${}^{1}t^{*}$ ,  $\phi_{fc}^{c}$ , by application of principal-component analysis. Rate constants,  $k_{cp}$  for the  ${}^{1}C^{*} \rightarrow {}^{1}p^{*}$  torsional process, calculated from  $\phi_{fc}^{c}$  give Arrhenius activation parameters  $E_{cp}^{a} = 0.33 \pm 0.08$  and  $1.90 \pm 0.08$  kcal/mol and ln A= 27.80 ± 0.13 and 30.09 ± 0.12 for *n*-hexane (*c*- $d_0$  and *c*- $d_2$ ) and *n*-tetradecane (*c*- $d_0$ ), respectively. Deuteration of the olefinic positions has no effect on  $k_{cp}$  in *n*-hexane, but diminishes  $k_{cp}$  by ~ 10% in *n*-tetradecane. Applying the medium-enhanced barrier model on these  $k_{cp}$ 's and on literature  $k_{cp}$ 's in methylcyclohexane/methylcyclopentane gives  $E_{cp}^{a} = -(1.07 \pm 0.05)$ + (0.89 ± 0.01) $E_{n_{s}}$  kcal/mol indicating a negative intrinsic barrier,  $E_{c}^{0} = -1.07 \pm 0.05$  kcal/mol, and a nearly full imposition of the activation energy for viscous flow,  $E_{n_{s}}$ , to the  ${}^{1}C^{*} \rightarrow {}^{1}p^{*}$  process, independent of deuterium substitution at the olefinic positions. The  $\phi_{fi}$  values are remarkably T independent in both solvents. Assuming that adiabatic formation of  ${}^{1}t^{*}$  takes the  ${}^{1}C^{*} \rightarrow {}^{1}p^{*} \rightarrow {}^{1}t^{*}$  pathway, these results indicate that  ${}^{1}p^{*}$  and  ${}^{1}t^{*}$  represent essentially isoenergetic regions in the potential energy surface of the lowest excited stilbene singlet state, thus providing the first experimental estimate of the energy of the perpendicular singlet state,  ${}^{1}p^{*}$ .

The effect of medium friction on the rates of very fast unimolecular reactions has been the topic of many recent experimental and theoretical investigations.<sup>1</sup> The trans  $\Rightarrow$  cis photoisomerization of stilbene is at the center of much of this work because

it affords the opportunity to determine the influence of the medium on barrier-controlled (trans side) and essentially barrierless (cis side) motions of potentially identical molecular moieties.<sup>1</sup> Isomerization of either stilbene isomer is assumed to proceed via torsional motion to intermediate <sup>1</sup>p\* whose equilibrium geometry corresponds to  $\sim 90^{\circ}$  rotation about the central bond.<sup>2</sup> Anticipation of the elusive nature of this intermediate led to its being dubbed the phantom excited singlet state, an appropriate characterization given the many futile attempts to observe it. Torsional relaxation from the trans side,  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$ , has been studied most extensively and has been shown to be subject to an intrinsic barrier of  $\sim 3.0$  kcal/mol both in the isolated molecule<sup>3,4</sup> and in hydrocarbon solvents.<sup>5</sup> Torsional relaxation from the cis side,  ${}^{1}c^{*} \rightarrow$ <sup>1</sup>p\*, has been assumed to be essentially barrierless, based on previous failures to observe  ${}^{1}c^{*}$  fluorescence and on the T independence of  $c \rightarrow t$  and  $c \rightarrow dihydrophenanthrene, DHP, quantum$ yields in fluid media.<sup>6</sup> Evidence for a shallow energy minimum at <sup>1</sup>c<sup>\*</sup> has been inferred from its long fluorescence lifetime (17.2 ns) observed from supersonic beams of cis-stilbene vapor seeded in inert gas expansions.7

Early studies of *cis*-stilbene luminescence in fluid solutions were hampered by trans-stilbene present as an impurity or formed during the process of recording the spectrum. Since the fluorescence quantum yield of *trans*-stilbene,  $\phi_{fl}$ , is nearly 500-fold the fluorescence quantum yield of *cis*-stilbene,  $\phi_{fc}^{c}$ , *cis*-stilbene fluorescence is easily overwhelmed by emission from the trans isomer. We have overcome these difficulties by employing nhexane solutions of highly purified cis-stilbene. Luminescence from such solutions at 30.0 °C was resolved by use of principal-component-self-modeling analysis into nearly equal areas of  ${}^{1}c^{*}$  ( $A_{fc}{}^{c}$ ) and  ${}^{1}t^{*}$  ( $A_{fi}{}^{c}$ ) fluorescence, the latter stemming from adiabatic  ${}^{1}c^{*} \rightarrow {}^{1}t^{*}$  conversion.<sup>8</sup> In our two preliminary reports, the adiabatic nature of <sup>1</sup>t<sup>\*</sup> formation was demonstrated by (a) the independence of  $A_{fc}^{c}/A_{fl}^{c}$  on excitation light intensity<sup>8a</sup> and (b) fluorescence excitation spectra that faithfully track the cisstilbene absorption spectrum, independent of monitored emission wavelength.85 Since adiabatic formation of 1t\* was, to say the least, unexpected, it is important to stress that the fluorescence excitation spectra of cis-stilbene solutions obtained by monitoring the intensity at  $\lambda_{em} = 350$  nm, the  $\lambda_{max}$  of <sup>1</sup>t<sup>\*</sup> fluorescence, were found to be composed primarily ( $\sim 85\%$ ) of cis-stilbene excitation.<sup>8b,c</sup> The remaining (~15%) trans-stilbene excitation component was in quantitative agreement with the known 0.021% trans impurity.<sup>8b,c</sup> The details of the study of fluorescence excitation spectra<sup>8</sup> will be presented in a separate paper. The present paper gives a full account of our emission results including the T effect

on cis-stilbene- $d_0$  and  $-d_2$  fluorescence quantum yields,  $\phi_{fc}^{c}$  and  $\phi_{fl}^{c}$ , in *n*-hexane, C<sub>6</sub>, and *n*-tetradecane, C<sub>14</sub>. The observations allow the first experimental estimates of the  ${}^{1}c^{*} \rightarrow {}^{1}p^{*}$  intrinsic barrier and the energy of <sup>1</sup>p\*.

## Experimental Section

Materials. trans-Stilbene-do from Metron Laboratories, 99,99% purity, was used as received. trans-Stilbene- $d_2$  was synthesized from cisstilbene- $d_2$  obtained by deuteration of diphenylacetylene, Aldrich, 99%, mp 59.0 °C, over Lindlar's catalyst using D<sub>2</sub>, Matheson, 99.9% purity, at 1 atm pressure.<sup>9</sup> The solvent was methanol, Fisher, reagent grade, distilled and dried with 3 Å molecular sieves prior to use. Yields up to 95% were obtained using 3% catalyst (w/w) relative to diphenylacetylene. More catalyst increased the rate of reaction but promoted bibenzyl- $d_4$ formation. Following filtration through Celite and solvent evaporation, the mixture of cis-stilbene- $d_2$ , bibenzyl- $d_4$ , and unreacted diphenylacetylene was dissolved in toluene, Fisher, reagent grade, and the cisstilbene- $d_2$  was isomerized to *trans*-stilbene- $d_2$  using a crystal of iodine, J.T. Baker, in refluxing toluene. Zinc powder, Matheson Coleman and Bell, was added with swirling until the characteristic purple color of iodine disappeared. The solution was filtered to remove the zinc iodide, and the reaction mixture was chromatographed on Wöelm acid alumina activity I, deactivated with 2% water (w/w).<sup>10</sup> Pentane, Fisher, reagent grade, was distilled and used as eluent to remove bibenzyl, followed by 20% distilled toluene in pentane (v/v) to elute the trans-stilbene-d<sub>2</sub>. The trans-stilbene- $d_2$  was sublimed twice; purity by GLC analysis was 99.96%. cis-Stilbene- $d_0$  was synthesized from trans-stilbene, Aldrich, 96% purity. The trans-stilbene was first chromatographed, as described above, to remove bibenzyl prior to fluorenone-sensitized photoisomerization.11 Fluorenone, Aldrich, was chromatographed on Fisher acidwashed alumina with benzene as eluent and recrystallized twice from methanol prior to use. The photostationary mixture of the stilbenes and fluorenone was chromatographed on Wöelm activity I acid or neutral alumina, deactivated with 2% water (w/w). Gravity feed of eluent, not flash chromatography, gave best separation. Repeated chromatography of the same sample was required to achieve 99.98% cis-stilbene purity. cis-Stilbene-d, was prepared from trans-stilbene-d, 99.96%, using the same procedure. Final purity of the cis-stilbene- $d_2$  was 99.99+% based on GLC analysis. All steps during the purification of cis-stilbene- $d_0$  and  $-d_2$  were carried out in the dark or under red light. Glassware used was washed in a heated tank with Micro cleaner, rinsed three times with tap water, three times with distilled water, and twice with ethanol, and dried overnight in a low-temperature oven. It was rinsed with Fisher HPLC grade *n*-hexane immediately before use.

The solvents used for the emission studies were n-hexane, Fisher HPLC, and n-tetradecane, Aldrich 99+% purity. n-Hexane was used as received but n-tetradecane was passed through Wöelm neutral alumina, activity I, until no absorbance remained above 260 nm relative to air in a 1-cm quartz cuvette. All volumetric glassware including transfer pipets and volumetric flasks were analytical grade. Stilbene and 9,10-diphenylanthracene samples were weighed into volumetric flasks using a Mettler AE240 balance.

Analytical Procedure. GLC analyses were performed using a Varian 3300 capillary gas chromatograph equipped with a J&W Scientific Durowax DB-5 capillary column.<sup>11</sup> Programmed temperature range was 160.0 to 185.0 °C with a ramp rate of 1.0 °C/min. The carrier gas pressure was 16 psi. Typical retention times were: bibenzyl, 7.0 min; cis-stilbene, 7.2 min; diphenylacetylene, 15.4 min; and trans-stilbene, 18.6 min. Reduction of diphenylacetylene was followed by GLC and <sup>1</sup>H NMR. Aliquots were filtered through Celite prior to GLC analysis. Stilbene fractions from the chromatography column were concentrated by gentle heating on a hot plate under a stream of  $N_2$  prior to injection. Final purity determination of cis-stilbene was made following bulb-tobulb distillation under vacuum. GLC response was shown to be linear with stilbene concentration in the range employed by use of known trans-stilbene solutions containing biphenyl as internal standard.

Irradiation Procedure. A 450-W Hanovia medium-pressure mercury lamp, whose output was filtered through a uranyl glass filter, was used as the light source for the fluorenone-sensitized photoisomerization of trans-stilbene. Benzene, Mallinckrodt, spectral grade, was distilled and used as solvent initially. Later experiments employed n-hexane and benzene in a 14:1 (v/v) ratio. Fluorenone concentrations were 0.02 to 0.05 M in 390 mL of solvent. trans-Stilbene- $d_0$  and  $-d_2$  were  $5.7 \times 10^{-2}$ M and  $5.7 \times 10^{-3}$  M, respectively. Product yields were limited by

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photostationary compositions, 85-89% cis, that were achieved within 1.5 h.

Absorption Spectra. UV absorption spectra were measured using a Perkin-Elmer Lambda-5 ultraviolet spectrophotometer with a scan rate of 60 nm/min, a slit of 2 nm, and a 0.2-s response. Spectra were measured at ambient temperature ( $\sim$ 24 °C) using matched Hellma 1.00-cm Supracil quartz cells. Absorbance was recorded at 0.2-nm intervals on a Dell Corp. 12-MHz 80286/87 microcomputer.

Fluorescence Spectra. Luminescence measurements were made with a Hitachi/Perkin-Elmer MPF-2A spectrophotometer equipped with a 150-W Xe lamp and a Hamamatsu R-106UH photomultiplier tube, extensively modified to interface with a Dell Corp. 12-MHz 80286/87 microcomputer. Intensities were recorded at 1.0- or 2.0-nm increments for *cis*-stilbene emission spectra and 0.25 to 1.0 nm for the *trans*-stilbene and DPA emission spectra. Temperature control was provided by a Neslab RTE-4DD heating/cooling bath circulating water/ethylene glycol through a block surrounding the sample cell. For the flow cell the circulating fluid also passed through the outer jacket of the solution reservoir. Temperatures were constant to within  $\pm 0.2$  °C as measured with an Omega Engineering Model 199 RTD digital thermometer.

Different cell mounts were used depending on the experiment. For flow cell experiments, a 1-cm quartz cell with inlet and outlet tubes sized for 1/4-in. Teflon swagelok fittings was held firmly in a cell mount with a diagonally mounted set screw. A mirror opposite the PMT reflected stray emission light through the cell into the PMT. Temperature was monitored just above the excitation light path using an Omega platinum RTD probe placed in a Teflon swagelok fitting. The quartz flow cell was connected in series with Teflon tubing to a Teflon head pump and the flow cell reservoir. While data were taken, the solution was pumped through the cell at 10.3 to 14.7 mL s<sup>-1</sup>. This procedure resulted in a controlled moderate rate of trans emission growth in the cis emission spectra. The flow reservoir was connected to the flow cell and then to the pump for measurements at higher temperatures in order to minimize the effect of bubbles formed when the solution passed through the pump head. Base-line emission spectra were recorded for the pure solvent at each  $\lambda_{exc}$  immediately before introduction of the stilbene solution into the reservoir and flow cell. The cell compartment was packed with foam insulation sealed with Saran Wrap and tape, and flushed with dry N<sub>2</sub> or Ar to minimize condensation on the cell walls for work below room temperature.

Static trans-stilbene and cis-stilbene emission spectra were obtained using a Hellma 1.00-cm Supracil quartz cell mounted in a modified Hitachi thermostated sample cell stage. Thermostated fluid from the Neslab circulator passed through a block in contact with two faces of the quartz cell. The thermometer probe was placed in a duplicate quartz cell housed in the same thermostated block. At least one emission spectrum was taken at 30.0 °C after every 10 spectra to monitor isomerization. No more than 20 spectra with any n-hexane solution and no more than 12 spectra with any n-tetradecane solution were measured. Excitation wavelength calibration was achieved by measuring the Rayleigh line for the 253.6-nm Hg line from a low-pressure pen lamp. This was essential for matching absorbances between standard and unknown solutions. trans-Stilbene,  $\phi_{ft}^{t} = 0.0400 \pm 0.0011$  at 30.0 °C in C<sub>6</sub>, was used as the fluorescence standard.<sup>12</sup> In order to optimize the weak *cis*-stilbene emission signal, larger than usual absorbances, 0.20-0.32 for 1.00-cm path length at  $\lambda_{exc}$ , were employed. In experiments where stilbene absorbances were not exactly matched at  $\lambda_{exc}$ , quantum yields obtained by adjusting for absorbance difference were in excellent agreement with quantum yields obtained using solutions with matched absorbance. Prior to base-line subtraction, scattered light from the pure solvent in the flow cell was corrected for variation in absorbance with  $\lambda$  that was caused when cis-stilbene was present as the solute. trans-Stilbene emission spectra were sufficiently intense that base-line subtraction was often superfluous. cis-Stilbene emission spectra from the static cell were measured using  $\lambda_{exc} = 338$  and 345 nm. For these spectra the useful region was for  $\lambda_{cm} \geq 360$  nm, and there was no need for base-line correction for transmittance of the cis-stilbene solutions. cis-Stilbene and trans-stilbene emission spectra were corrected for self-absorption at the blue edge of the emission by dividing observed emission intensity by solution transmittance assuming an effective path length of 0.5 cm (emission from the 1-cm cells was recorded at right angle to the excitation beam). Stilbene emission spectra were corrected for the transmission of the fluorimeter 290-nm cutoff filter that was employed. Correction factors for nonlinear instrumental response were obtained as described by Parker.<sup>13</sup> Details concerning all these procedures are given in ref 8c.

Table I. PCA-SM Spectral Matrices

cell	$\lambda_{exc}$ , nm	$\lambda_{cm}$ range, <sup>a</sup> nm	no. of spectra	expt
flow	268.0, 269.6, 272.0	310-596	76	1
flow	272.0	310-596	70	2
static	338.0	394-600	63	3

<sup>a</sup> Intensities were included at 2.0-nm increments.

Table II. Experimental Conditions for *cis*-Stilbene Emission Experiments<sup>a</sup>

expt, substrate, solvent	<i>T</i> , K	λ <sub>exc</sub> , nm	λ <sub>em</sub> range, nm	[c] × 10 <sup>5</sup> , M	[t] <sup>b</sup> × 10 <sup>5</sup> , M
$1a, c-d_0, C_6$	303.2	268.0-272.0	290-600	2.79	1.87
1b, $c-d_2$ , C <sub>6</sub>	303.2	268.0-272.0	290-600	2.78	1.73
2a, $c - d_0$ , C <sub>6</sub>	272.6-330.8	272.0	290-600	3.19	2.20
2b, $c - d_2$ , C <sub>6</sub>	272.6-330.8	272.0	290-600	2.84	1.72
$3a, c-d_0, C_6$	263.4-324.0	338.0	360-600	170	
3b, $c - d_2$ , C <sub>6</sub>	265.7-324.1	338.0	360-600	192	
4a, $c - d_0$ , $C_{14}$	303.2-356.4	338.0	360-600	182	
4b, $c-d_2$ , $C_{14}$	303.2-352.7	338.0	360-600	200	

"Experiments 1 and 2 in flow cell; expts 3 and 4 in static cell. "Standard trans-stilbene solution for quantum yield determination.



Figure 1. Average of 76 sequential emission spectra from a *cis*-stilbene- $d_0$  solution in C<sub>6</sub> at 30.0 °C (O), expt 1a, compared with *trans*-stilbene fluorescence (solid line) corrected at the blue edge for slight distortion due to absorption by a 2.79 × 10<sup>-5</sup> M *cis*-stilbene solution.

Matrices for PCA-SM Calculations. Distortions due to Raman peaks were avoided by excluding the Raman peak region from the PCA-SM calculation. A description of spectral matrices employed in this study is given in Table I. More information concerning these experiments is given in the Results section.

#### Results

cis-Stilbene Fluorescence. When excited in a region of significant trans-stilbene absorption, e.g.,  $\lambda_{exc} = 270.0$  nm, in static cells, the fluorescence spectrum from cis-stilbene solutions in C<sub>6</sub> appears identical with a trans-stilbene emission spectrum due to cis  $\rightarrow$  trans photoisomerization. Interference due to trans-stilbene formation was minimized by use of a 4-mL quartz cell connected to a reservoir containing 500 mL of solution and a pump. The contribution of trans-stilbene fluorescence to the observed emission was dramatically reduced by continuous flushing of the excited solution out of the cell. In a typical experiment, the total spectral area prior to base-line subtraction increased only 15% during the course of recording 70 successive spectra (about 105-min irradiation in the spectrometer). Figure 1 shows the average of 76 spectra of 99.98% cis-stilbene in C<sub>6</sub> at 30.0 °C with  $\lambda_{exc} = 269.6$ nm compared to a trans-stilbene spectrum with matched intensity

<sup>(12)</sup> Saltiel, J.; Waller, A. S.; Sears, D. F., Jr.; Garrett, C. Z. J. Phys. Chem., in press.

<sup>(13)</sup> Parker, C. A. Photoluminescence of Solutions, Elsevier: Amsterdam, 1968; pp 252-258.



Figure 2. The two major eigenvectors from expt 1a; the relative magnitude of the four largest eigenvalues was  $1.0:8.7 \times 10^{-3}:3.8 \times 10^{-4}:2.6 \times 10^{-4}$ .

at 310 nm. The spectra show a clear difference at  $\lambda_{em} > 360$  nm. The *cis*-stilbene fluorescence spectrum was resolved by use of principal-component analysis with self-modeling (PCA-SM)<sup>14</sup> on matrices of spectra consisting of different contributions of *cis*and *trans*-stilbene fluorescence. The experiments performed are described in Table II. The *trans*-stilbene concentrations listed in Table II were for solutions used as fluorescence standards. Fluorescence spectra were measured before and after each of three 1-mL portions of the *trans*-stilbene standard solution was added in the reservoir containing the *cis*-stilbene solution under study. In addition to this controlled increase in *trans*-stilbene concentration (up to  $1.16 \times 10^{-7}$  M in expt 1a), there was a slow increase due to cis  $\rightarrow$  trans photoisomerization during the course of the measurements.

Matrices of baseline corrected, normalized emission spectra from these experiments were treated with PCA-SM and separated into *trans*-stilbene and *cis*-stilbene spectral emission components. Ratios of eigenvalues were indicative of two-component systems with the possible exception of expts 2a and 2b. In the latter case, the contribution of the third eigenvector may compensate for enhanced structure in the *trans*-stilbene fluorescence spectrum with decreasing T. Figure 2 shows the two major eigenvectors from expt 1a. They are nearly identical in appearance to those of expts 1b, 2a, and 2b. Combination coefficients ( $\alpha_{i}, \beta_{i}$ ) for the experimental spectra cluster tightly around the normalization line as shown in Figure 3.

The *trans*-stilbene spectral limit was obtained by subtracting the average of the first 10 spectra without added trans from the average of the last 10 spectra following the addition of the last *trans*-stilbene aliquot. Dot products of the resulting difference spectrum with the eigenvectors gave  $(\alpha_i, \beta_i)$  combination coefficients that lie precisely on the normalization line. This difference spectrum is slightly distorted at its blue edge owing to "selfabsorption" by the *cis*-stilbene solution. It was shown to be identical with the fluorescence spectrum of pure *trans*-stilbene when analytically corrected for this distortion.

The cis-stilbene emission spectrum could, in principle, be determined by using the criterion of zero spectral intensity at the onset region of the spectra, 310–340 nm.<sup>14</sup> In practice, residual emission at the 320-nm region that appeared to be part of the pure component cis-stilbene spectrum hampered the search for the cis limit. The intensity of the  $\sim$  320-nm region decreased in size with repeated purification of cis-stilbene but could not be entirely



Figure 3. Normalization line of the vectors in Figure 2. The circles are combination coefficients for the spectra of the input matrix and the squares are pure component combination coefficients for *cis*- (upper) and *trans*-stilbene (lower).



Figure 4. Pure component  ${}^{1}c^{\bullet}$  fluorescence spectra for  $cis-d_{0}$  ( $\bullet$ ) and  $cis-d_{2}$  (O) in C<sub>6</sub> at 30.0 °C; PCA-SM cis limits from expts 2a and 2b, respectively, uncorrected for nonlinearity in instrument response.

eliminated. The minimum fractional contributions of the 320-nm peak were 5 and 8% of the pure component spectra of *cis*-stilbene- $d_0$  and  $-d_2$ , respectively. Attempts to treat the 320-nm peak as a third component failed, and it was artificially removed and replaced by a smooth curve at the onset of <sup>1</sup>c<sup>\*</sup> emission (see Figure 1, ref 8a). The resulting uncorrected <sup>1</sup>c<sup>\*</sup> spectrum, smoothed 10 times, is a structureless curve in the 337- to 550-nm region with  $\lambda_{max} = 404$  nm. Spectra for *cis*-stilbene- $d_0$  and  $-d_2$  are identical within experimental error (Figure 4).

Once pure component coefficients were identified on the normalization lines, the experimental spectra were readily decomposed into cis and trans fractional contributions which when multiplied by the total area of each spectrum (normalization number) gave  $^{1}c^{*}$  and  $^{1}t^{*}$  fluorescence areas,  $A_{c}^{c}$  and  $A_{1}$ , respectively. After correction of  $A_{1}$  for increases due to the addition of successive trans aliquots, plots of  $A_{1}$  versus spectral number are roughly linear and reflect the slow increase in  $^{1}t^{*}$  emission due to cis  $\rightarrow$  trans isomerization. The data for expts 1a and 1b are plotted in Figure 5. The intercepts give the  $^{1}t^{*}$  fluorescence areas prior to trans buildup.

<sup>(14)</sup> Sun, Y.-P.; Sears, D. F., Jr.; Saltiel, J. Anal. Chem. 1987, 59, 2515.



Figure 5. Increase in *trans*-stilbene spectral area,  $A_{ft}$ , as more spectra are taken for *cis*-stilbene- $d_0$  (O), expt 1a, and *cis*-stilbene- $d_2$  (D), expt 1b, in C<sub>6</sub> at 30.0 °C. Each spectral scan corresponds to 90-s irradiation in the spectrophotometer.

Selection of pure component combination coefficients for the cis fluorescence spectrum was refined by using the criterion of minimum change in  $A_c^c$  for each experiment at the selected T and  $\lambda_{exc}$ . Fluorescence areas,  $A_1^1$ , from standard *trans*-stilbene solutions with matched absorbance at  $\lambda_{exc}$  were used for quantum yield determinations. The criterion of minimum change in  $A_c^c$  gives slightly different trans limits for expts 2a and 2b at each temperature. This refinement was not necessary in experiments employing  $\lambda_{exc} = 338$  nm since the portion of the fluorescence spectrum treated included only the structureless tails of  $^{1}$ t\* emission starting at 394 nm. A series of 20 emission spectra was measured at each T starting with the pure *cis*-stilbene solution, and the *trans*-stilbene spectral limit was obtained by subtracting the initial spectrum from the final spectrum. This procedure resulted in uniform  $A_c^c$  values for each T.

Spectra from expts 4a and 4b were also corrected for a small buildup of phenanthrene fluorescence. Phenanthrene buildup was quantitatively determined following the taking of 20 spectra at 338 nm by measuring fluorescence spectra, 310-600 nm, of a diluted aliquot of the solution, 1:50, with  $\lambda_{exc} = 250$  and 300 nm. The excitation wavelengths were selected so that the difference in ratios of molar absorptivities of trans-stilbene and phenanthrene was maximized. The 300-nm spectrum was nearly pure transstilbene fluorescence, containing no phenanthrene emission, whereas the 250-nm spectrum, where phenanthrene has its  $\lambda_{max}$ in the UV spectrum, contained a measurable contribution of phenanthrene emission. The 250-nm spectrum was separated into trans-stilbene and phenanthrene contributions by matching the 300-nm spectrum to its blue edge (310-340 nm) and subtracting. The resulting difference spectrum was identical with the spectrum of a standard phenanthrene solution. The phenanthrene: transstilbene fluorescence area ratio was used to calculate the concentration ratio of these products which was shown to remain constant during the course of measuring 1 to 20 spectra. The concentration ratio was then used to correct the 338-nm spectra for phenanthrene fluorescence. For instance, in expt 4a the fluorescence buildup observed in the  $\lambda_{exc} = 338$  nm spectra in the 394-600-nm region consisted of 11.1% phenanthrene and 88.9% trans-stilbene fluorescence. A<sub>1</sub><sup>c</sup> values prior to buildup were obtained by extrapolation as described above (see Figure 5); they required no correction for the presence of *trans*-stilbene impurity since initial trans-stilbene absorbance was negligible at 338 nm. Calculations were carried out on uncorrected spectra. However, final fluorescence areas were based on spectra corrected for nonlinearity of instrumental response and adjusted to include the entire spectral region from 310 to 360 nm. Significantly, A<sub>1</sub>c/A<sub>c</sub>c

Table III. A, Dependence on Added trans-Stilbene

	experiment	la	experiment 1b			
$\frac{[t-d_0]^a \times 10^8, M}{10^8, M}$	A, <sup>b</sup>	$\frac{(\mathbf{A}_{t}/\mathbf{A}_{t}^{-1})^{r} \times 10^{2}}{10^{2}}$	$\frac{[t-d_2] \times 10^8, M}{10^8, M}$	A, <sup>b</sup>	$(A_t/A_t^{-1})^c \times 10^2$	
0.00	74.9(32)	0.212	0.00	88.7(69)	0.162	
3.73	144.8(84)	0.410	3.45	185.8(40)	0.340	
7.45	218.0(104)	0.618	6.89	292.0(88)	0.534	
_11.15	284.0(19)	0.805	10.32	398.9(123)	0.729	

<sup>o</sup>Added *trans*-stilbene- $d_0$  and  $-d_2$  concentrations; in expt 1a actual concentrations are 5.86 × 10<sup>-9</sup> M higher, based on GLC analysis of *cis*-stilbene- $d_0$ . <sup>b</sup> Values in parentheses are uncertainties in last significant figures shown. <sup>c</sup> Relative areas are based on  $A_1^{ci} = 3.53 \times 10^4$  and 5.47 × 10<sup>4</sup>, the areas of the corrected fluorescence spectra of the standard *trans*-stilbene solutions for expts 1a and 1b, respectively.

filter absorbance	relative I <sub>exc</sub>	% cis areaª	% cis area <sup>b</sup> biphotonic trans	
0.000	1.00	48.7	48.7	
0.168	0.63	48.5	62.7	
0.268	0.54	48.2	67.6	
0.436	0.34	48.0	89.2	

<sup>a</sup>Observed in C<sub>6</sub> at 30.0 °C. <sup>b</sup>Expected, if trans emission were biphotonic.



Figure 6. Increase in relative trans-fluorescence area,  $A_{f1}^{rel}$ , with added *trans*-stilbene concentration in the emission spectra of *cis*-stilbene- $d_0$  (O), expt 1a, and *cis*-stilbene- $d_2$  (D), expt 1b, in C<sub>6</sub> at 30.0 °C.

ratios obtained were, within experimental uncertainty, independent of  $\lambda_{exc}$  used for the experiments in Table II.

The A<sub>1</sub>'s from expts 1a and 1b, corrected for trans buildup using the slopes in the plots in Figure 5, are shown in Table III. They are plotted versus added *trans*-stilbene concentration in Figure 6. The intercept/slope ratios of these plots predict initial *trans*-stilbene concentrations of  $3.99 \times 10^{-8}$  and  $2.84 \times 10^{-8}$  M for expts 1a and 1b, respectively. These levels of *trans*-stilbene would correspond to 0.143 and 0.102% trans impurities in the *cis*-stilbene- $d_0$  and  $-d_2$  samples, well in excess of the GLC determined levels of 0.021 and 0.00%, respectively.

As a further evaluation of the source of the excess trans emission in the *cis*-stilbene fluorescence spectra, the effect of attenuating exciting light intensity,  $I_{exc}$ , with neutral density filters was determined. The pure *cis*-stilbene solution from expt 1a was used and the percent contribution of the <sup>1</sup>c<sup>\*</sup> fluorescence determined (Table IV). The spectral shape is strictly independent of  $I_{exc}$ ; see also Figure 7.

**Fluorescence Quantum Yields.** cis-Stilbene fluorescence quantum yields,  $\phi_{fc}^{c}$ , were determined using *trans*-stilbene  $\phi_{fl}^{i} = 0.0400 \pm 0.0011$  in C<sub>6</sub> at 30.0 °C as standard.<sup>12</sup> Corrections for



Figure 7. Average of 10 fluorescence spectra from a *cis*-stilbene- $d_0$  solution in C<sub>6</sub> at 30.0 °C measured with a neutral density filter (A = 0.268) in the excitation beam (O) compared with the spectra expected if the trans emission contribution is monophotonic (upper curve) or biphotonic (lower curve). The conditions are those of expt 1a; the three spectra were made to coincide in the 450-600-nm region where only <sup>1</sup>c\* emits.

differences in index of refraction, *n*, and absorbance, *A*, at  $\lambda_{exc}$  were applied as required using

$$\phi_{\rm fc}^{\rm c} = \phi_{\rm ft} \frac{A_{\rm c}}{A_{\rm l}} \frac{A_{\rm l}}{A_{\rm c}} \frac{n_{\rm c}^2}{n_{\rm l}^2} \tag{1}$$

where the fluorescence areas  $A_t$  and  $A_c$  are corrected for nonlinearity in instrumental response.<sup>13</sup> The index of refraction and density of  $C_6$  and  $C_{14}$  were calculated as previously described<sup>12</sup> using literature data.<sup>15</sup> The  $n^2$  correction in eq 1 was applied in relating quantum yields in  $C_{14}$  to quantum yields in  $C_6$ . In addition,  $n^2$  and density corrections were applied at different T's in the same solvent.<sup>16</sup>

Five independent determinations in  $C_6$  at 30.0 °C and one at 30.2 °C with  $\lambda_{exc}$  in the 266.0–272.0-nm range gave satisfactorily reproducible  $\phi_{fc}$  values in the (7.55  $\pm$  0.36-8.76  $\pm$  0.34)  $\times$  10<sup>-5</sup> range. The average of these values,  $(8.13 \pm 0.40) \times 10^{-5}$  is slightly smaller than the value reported in our preliminary communication<sup>8a</sup> because of adjustment in the  $L_0/L$  ratio for DPA and to the refinement of the trans-stilbene limit in the PCA-SM analysis. The most complete experiments, expts 1 and 2, Table II, give  $\phi_{fc}$ =  $(8.23 \pm 0.31) \times 10^{-5}$  and  $(8.04 \pm 0.31) \times 10^{-5}$  for *cis*-stilbene- $d_0$ and  $-d_2$ , respectively, in C<sub>6</sub> at 30.0 °C, relative to  $\phi_{ft}^{t} = 0.0400$ , for *trans*-stilbene- $d_0$ . After correction for 0.021% trans impurity in the cis-stilbene- $d_0$  sample,  $\phi_{fl}^c = (7.3 \pm 0.4) \times 10^{-5}$  and (7.2  $\pm$  0.2)  $\times$  10<sup>-5</sup> were obtained from expts 1a and 2a, respectively, for *cis*-stilbene-d<sub>0</sub>. Under the same conditions,  $\phi_{fc} = (10.0 \pm 0.7)$  $\times 10^{-5}$  was obtained from expt 1b for *cis*-stilbene-d<sub>2</sub>. Determination of this quantity from expt 2b was not pursued because of the presence of a small unknown impurity emission in the region of *trans*-stilbene fluorescence. The  $\phi_{fc}^{c}$  values in C<sub>14</sub> at 30.0 °C were obtained relative to  $\phi_{fc}^{c} = 8.13 \times 10^{-5}$  for *c*-d<sub>0</sub> in C<sub>6</sub>. Two sets of independent experiments gave  $\phi_{fc}^{c} = (1.18 \pm 0.07) \times 10^{-4}$ and  $(1.27 \pm 0.07) \times 10^{-4}$  for *cis*-stilbene- $d_0$  and  $-d_2$ , respectively. Quantum yields of fluorescence for the *cis*-stilbenes in  $C_6$  and  $C_{14}$ are shown as a function of T in Table V relative to  $\phi_{fc}^{c} = 8.13$  $\times$  10<sup>-5</sup> at 30.0 °C for both c-d<sub>0</sub> and c-d<sub>2</sub> in C<sub>6</sub>.

**Table V.** Stilbene- $d_0$  and  $-d_2$  Fluorescence Quantum Yields in *n*-Hexane and *n*-Tetradecane<sup>*a*</sup>

system	<i>T</i> , K	$\phi_{\rm fc}^{\rm c} \times 10^5$	$\phi_{ft}^{c} \times 10^{5}$	$\phi_{\rm ft}{}^{\rm t} \times 10^{2b}$	$\phi_{ct} \times 10^3$
$C_6, d_0$	330.8	7.9(2)	9.3(2)	2.27	4.1(1)
• •	324.3	7.2(4)	11.6(7) <sup>c</sup>	2.58	4.5(3)
	324.0	7.7(2)	8.6(11)	2.5,	3.3(4)
	312.2	8.0(3)	8.0(7)	3.2,	2.4(2)
	310.7	7.6(3)	$12.7(12)^{c}$	3.67	3.4(3)
	303.4	8.1(3)	9.1(3)	3.9 <sub>8</sub>	2.3(1)
	303.2	8.13(40)	8.6(9)	4.00	2.2(2)
	302.9	8.1(1)	8.9(8)	4.0 <sub>2</sub>	2.2(2)
	293.9	8.1(3)	7.9(1)	<b>4.9</b> <sub>2</sub>	1.6(2)
	284.8	8.0(2)	5.9(2) <sup>c</sup>	6.1 <sub>0</sub>	1.0(1)
	273.5	8.4(3)	8.7(15)	8.07	1.1(2)
	272.6	8.5(3)	9.2(2)	8.26	1.1(2)
	263.4	10.0(5)	8.9(15)	10.4,	0.85(15)
		ave	8.7(3)		
$C_{14}, d_0$	356.4	7.2(6)	9.5(10) <sup>c</sup>	2.3,	4.0(4)
	353.8	7.3(5)	13.1(12)	2.5 <sub>1</sub>	5.2(5)
	350.5	7.8(5)	7.9(10) <sup>c</sup>	2.6 <sub>8</sub>	3.0(4)
	347.9	7.8(3)	12.7(17)	2.82	4.5(6)
	344.0	8.1(4)	14.1(10)	3.05	4.6(3)
	342.5	8.1(5)	9.0(7) <sup>c</sup>	3.15	2.9(2)
	336.7	8.5(4)	13.5(12)	3.56	3.8(4)
	332.8	8.4(3)	14.0(13)	3.87	3.6(3)
	332.5	8.7(4)	13.6(20)	3.8,	3.5(5)
	324.1	9.4(4)	13.9(17)	4.68	3.0(4)
	318.4	10.2(4)	13.1(20)	5.3	2.5(4)
	317.2	10.2(3)	14.8(3)	5.48	2.7(3)
	314.8	9.9(4)	12.5(6)	5.8 <sub>0</sub>	2.2(1)
	314.0	10.8(1)	17.6(6) <sup>c</sup>	5.9	3.0(1)
	308.3	11.3(3)	13.3(14)	6.7,	2.0(3)
	308.1	11.7(7)	12.8(13)	6.8 <sub>0</sub>	1.9(2)
	303.2	11.8(5)	13.8(6)	<b>7.6</b> <sub>6</sub>	1.8(1)
		ave	13.4(5)		
$C_{6}, d_{2}$	330.8	7.3(3)	9.7(4)	3.5,	2.7(1)
	324.1	8.0(4)	11.2(8)	4.1 <sub>0</sub>	2.6(2)
	312.2	8.0(3)	10.6(4)	5.2 <sub>5</sub>	2.0(1)
	310.7	8.0(4)	18.5(13) <sup>c</sup>	5.4 <sub>2</sub>	3.4(3)
	303.2	8.1(4)	10.0(5)	6.3,	1.6(1)
	294.7	8.7(3) <sup>c</sup>	11.3(14)	7.75	1.5(2)
	293.9	8.3(2)	10.0(6)	7.9 <sub>0</sub>	1.3(1)
	290.4	8.9(2)	11.3(13)	8.5 <sub>8</sub>	1.3(2)
	284.9	8.9(2)°	8.9(10)°	9.7 <sub>8</sub>	0.9(1)
	276.3	d	11.1(10)	12.0 <sub>8</sub>	0.9(1)
	274.2	8.9(3)	8.2(8) <sup>c</sup>	12.73	0.6(1)
	265.7	8.1(1)	1.7(8) <sup>c</sup>	15.8 <sub>1</sub>	0.1(1)
		ave	10.6(5)		
$C_{14}, d_2$	352.7	8.7(3)	17.4(11) <sup>c</sup>	3.65	4.8(3)
	346.4	8.6(4)	21.6(7)	<b>4.4</b> <sub>0</sub>	4.9(2)
	336.3	9.2(3)	22.3(13)	5.19	4.3(3)
	327.8	9.5(5)	23.3(10)	6.29	3.7(2)
	317.2	11.1(4)	21.9(7)	8.07	2.7(1)
	312.8	11.0(3)	23.9(16)	8.9 <sub>8</sub>	2.7(2)
	308.3	11.9(5)	21.5(7)	10.03	2.1(1)
	303.2	12.8(6)	22.2(6)	11.3,	2.0(1)
		ave	22.4(8)		

<sup>a</sup> cis-Stilbene-d<sub>0</sub> and -d<sub>2</sub> quantum yields relative to  $\phi_{f1}^{t} = 0.0400$  for *trans*-stilbene-d<sub>0</sub> in C<sub>6</sub> at 303.2 K; values in parentheses are estimated uncertainties in last significant digit(s) shown. <sup>b</sup> Interpolated values from treatment of  $\phi_{f1}^{t}$  as a function of T.<sup>12</sup> <sup>c</sup> Not used in treatment of data due to difficulties in correcting for cis  $\rightarrow$  trans photoisomerization. <sup>d</sup> Large 320-nm fluorescence contamination.

#### Discussion

The Source of *cis*-Stilbene Fluorescence. *cis*-Stilbene fluorescence spectra had been obtained previously from *cis*-stilbene in rigid organic glasses at low  $T^{17-21}$  and from supersonic beams

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Figure 8. Comparison of 'c\* fluorescence spectra from Figure 4, averaged, smoothed, and corrected for nonlinearity in instrumental response -), with  ${}^{1}c^{*}$  emission from a jet-cooled Ar cluster<sup>7a</sup> ( $\blacksquare$ ) and from a methylcyclohexane/3-methylpentane glass at 93 K (O).20

of cis-stilbene vapor seeded in inert gas expansions.<sup>7</sup> Assignment of these spectra to the  $S_1 \rightarrow S_0$  transition of *cis*-stilbene is supported by their similarity to spectra of 1,2-diphenylcycloalkenes that serve as relatively rigid structural analogues of cis-stilbene.<sup>18,21</sup> We have used PCA-SM analysis to decompose the emission spectrum from a pure cis-stilbene solution in C<sub>6</sub> at 30.0 °C (Figure 7) into roughly equal areas of *trans*-stilbene fluorescence and a new featureless fluorescence spectrum (Figure 4) that is similar, but broader, than the earlier cis-stilbene fluorescence spectra (Figure 8). We assigned this spectrum to the  $S_1 \rightarrow S_0$  transition of cis-stilbene in fluid solution and attribute its broader shape to emission from the greater range of cisoid geometries that are accessible to  ${}^{1}c^{*}$  at the higher T and lower  $\eta$  employed in our study. Pulsed excitation transient absorption<sup>22-24</sup> and fluorescence studies<sup>25</sup> have established that the lifetime of  ${}^{1}c^{*}-d_{0}$  in C<sub>6</sub> is 1.0 ps under our conditions. In view of this very short lifetime, some of the 1c\* fluorescence may be occurring from vibrationally hot <sup>1</sup>c<sup>\*</sup> molecules and thus contributing intensity at the blue edge of the fluorescence spectrum. This conclusion has been based on theoretical calculations of the absorption and emission spectra of cis-stilbene.25 It is necessary, therefore, to consider the possibility that the small 320-nm peak which we could not entirely eliminate from the pure component spectrum might represent a real feature of the cis-stilbene fluorescence spectrum. The varying contribution of this peak from experiment to experiment and the fact that its intensity was reduced by use of fresh  $C_6$  or freshly distilled *cis*stilbene are the strongest arguments for attributing this emission to an unknown impurity. Its spectral shape matches neither bibenzyl's nor phenanthrene's fluorescence spectrum,<sup>26</sup> and thus these two most likely contaminants of cis-stilbene can be discounted.

A precedent for fluorescence from vibrationally hot S<sub>1</sub> molecules is provided by benzophenone whose prompt fluorescence exhibits relatively stronger intensity at the higher energy bands of its diffuse

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vibrational progression than does its delayed fluorescence.<sup>27</sup> Presumably in this case the 10-ps lifetime of  $S_1$  is too short to allow full vibrational relaxation prior to fluorescence from this state.28

The possibility that the blue edge of our 30.0 °C <sup>1</sup>c\* fluorescence spectrum in  $C_6$  represents largely emission from vibrationally hot 'c\* molecules<sup>25</sup> makes its use for the assignment of the  $S_1 \leftarrow$  $S_0$  origin less than straightforward. A long lifetime of 4.7 ns has been measured for <sup>1</sup>c<sup>\*</sup> fluorescence in 3-methylpentane glass at 77  $K^{29}$  under conditions that inhibit cis  $\rightarrow$  trans photoisomerization and for which values of  $\phi_{fc} = 0.75 - 0.79$  have been reported.<sup>19,20</sup> No doubt this emission originates from vibrationally relaxed <sup>1</sup>c\* molecules. The average of onset energies of emission and absorption spectra of *cis*-stilbene<sup>21</sup> give  $82.9 \pm 0.4$  kcal/mol as  $0^{\circ}$ energy of the  $S_1$ - $S_0$  transition at 77 K in the rigid hydrocarbon glass. This is the value used by Todd et al. in the theoretical calculation of the spectra.<sup>25</sup> However, this origin is expected to shift to higher energy with increasing T owing, in part, to the decrease of the polarizability of the solvent. This phenomenon has been documented thoroughly for trans-stilbene and the vinylogous conjugated diphenylpolyenes.<sup>30</sup> For instance, in *trans*-stilbene the  $0^{\circ}$  band of the S<sub>1</sub>-S<sub>0</sub> transition in a mixture of 2- and 3-methylpentanes shifts from 86.9 kcal/mol at 77 K to 87.8 kcal/mol at 295 K.<sup>31</sup> The range of reported values actually extends from 85.7 kcal/mol in bibenzyl crystal at 20 K<sup>31</sup> to 92.2 kcal/mol for jet-cooled isolated-molecule conditions.<sup>3,4,32</sup> In addition to these shifts in the origins of the  $S_0-S_1$  transitions, the spectra of both stilbene isomers show pronounced red shifts of the  $\lambda_{max}$  and increases in transition probability with cooling that are attributed to a decrease in population of low-frequency modes involving torsional vibrations of the olefin-phenyl bonds.<sup>19,33-38</sup> A decrease in C-Ph torsion angles is also imposed by the rigidity of the glassy medium. The origin of the  $S_1-S_0$  transition in the fluorescence spectrum of jet-cooled cis-stilbene in an Ar cluster has been estimated at ≤83.3 kcal/mol under conditions for which the well-resolved  $0^0$  band in *trans*-stilbene fluorescence is at 88.5 kcal/mol.<sup>7</sup> Thus our estimate of 85.7 kcal/mol for cis-stilbene in  $C_6$  at 303.2 K based on the average of onset energies of absorption and fluorescence spectra may be somewhat high.

Adiabatic trans-Stilbene Fluorescence. trans-Stilbene fluorescence observed following excitation of cis-stilbene solutions is proportional to the concentration of <sup>1</sup>t<sup>\*</sup> at the moment the spectrum is recorded. Three possible sources of <sup>1</sup>t<sup>\*</sup> emission were considered: (1) excitation of trans-stilbene present as an impurity in the cis-stilbene sample, (2) excitation of trans-stilbene formed by photoisomerization of cis-stilbene in the course of recording the spectrum, and/or (3) formation of <sup>1</sup>t\* from <sup>1</sup>c\* via an excited-state pathway.

Quantitative analysis of *cis*-stilbene samples by GLC and by PCA-SM treatment of fluorescence excitation spectra<sup>8b,c</sup> are in exact agreement, showing the presence of  $(0.020 \pm 0.001)\%$ *trans*-stilbene in our *cis*-stilbene- $d_0$ . Under the same conditions, no trans-stilbene was detected by GLC in cis-stilbene- $d_2$ . It is only necessary to correct *cis*-stilbene- $d_0$  fluorescence spectra ob-

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Table VI. cis-Stilbene Radiative Rate Constants<sup>a</sup>

conditions	$\phi_{\rm fc}^{c}$	$\tau_{\rm f}$ , ps	$k_{\rm f} \times 10^7,  {\rm s}^{-1}$	$(k_{\rm f}/n^2) \times 10^7,  {\rm s}^1$	$(k_{\rm f}/n^{1.65}) \times 10^7,  {\rm s}^{-1}$
3-MP glass 77 K <sup>b</sup>	0.75-0.79	$4.7 \times 10^{3}$	16.4	≤7.8	≤8.6
Ar cluster, gas <sup>c</sup>	≤1	$17.2 \times 10^{3}$	≤5.8	≤5.8	≤5.8
$C_{6}$ , 293 $K^{d}$	$8.3 \times 10^{-5}$	1.04	8.0	4.2	4.7
$C_{14}, 293 K^{d}$	$13.3 \times 10^{-5}$	1.52	8.7	4.3	4.8
$C_{14}, c-d_2, 293 \text{ K}^d$	$14.1 \times 10^{-5}$	1.73	8.2	4.0	4.5

<sup>a</sup> Unless otherwise indicated, these are for  $c-d_0$ . <sup>b</sup> See refs 19 and 20 for  $\phi_{fc}$  and ref 29 for  $\tau_f$ ;  $n \ge 1.45$  was assumed. <sup>c</sup> Jet-cooled  $c-d_0$ .<sup>7</sup> <sup>d</sup> Fitted  $\phi_{fc}$  using parameters in Table VII;  $\tau_f$  from ref 24b and 25; see text.

tained with the shorter  $\lambda_{exc}$  for ~15% contribution to  $A_t$  by trans impurity in calculating  $\phi_{ft}^c$  values. No such correction is required when  $\lambda_{exc} \geq 338$  nm is employed owing to the negligible *trans*stilbene absorbance at these wavelengths.

Excitation of trans-stilbene formed by photoisomerization of cis-stilbene in the light beam of the fluorimeter is the dominant source of fluorescence when cis-stilbene solutions are excited at  $\lambda_{exc} \leq 330$  nm in a static cuvette. The purity of the cis isomer aside, this pathway could readily account for previous failures to detect the weak 1c\* fluorescence in fluid solutions. The contribution of <sup>1</sup>t<sup>\*</sup> formation from this source was minimized by either the use of the flow cell or by employing  $\lambda_{exc} \geq 338$  nm. For instance, in expts 1a and 1b, Table II, the increase in  $A_t$  with each spectrum taken under flow cell conditions is only 0.87 and 0.82%, respectively (Figure 5). In these experiments, the initial trans emission from the pure *cis*-stilbene solutions is linearly dependent on light intensity as is the cis emission (Table IV, Figure 7). This rules out the possibility that photoisomerization of *cis*-stilbene produces a significant trans-stilbene steady-state concentration in the illuminated region that is excited by a second photon to <sup>1</sup>t<sup>\*</sup>. Clearly, under our extremely low photon fluxes such a process would lead to second-order dependence on  $I_{exc}$ . Also convincing of the adiabatic nature of 1t\* emission is the fact that excitation of *cis*-stilbene solutions at  $\lambda_{exc} = 345$  nm where *trans*-stilbene is transparent gives fluorescence spectra that are independent of the presence of purposely added or photochemically formed transstilbene. Though, admittedly, the useful spectral region is limited because of severe interference by scattered light, it overlaps the <sup>1</sup>t<sup>\*</sup> spectrum sufficiently to show that the A<sup>c</sup> contribution at the red portion of the spectrum is independent of  $\lambda_{exc}$ . The useful spectral range is extended somewhat (394-600 nm) by using  $\lambda_{exc}$ = 338 nm. This allows use of a static cell to measure fluorescence quantum yields, but at the expense of observable trans buildup reflected in  $A_1$ . The increases in  $A_1$  per spectrum were 3.5, 4.1, 14.6, and 10.1% in expts 3a, 3b, 4a, and 4b, respectively. Again, the initial spectrum measured shows the identical <sup>1</sup>t\* fluorescence as impurity-corrected spectra obtained at shorter  $\lambda_{exc}$  (Figure 9). That the  $\lambda_{exc}$  independence of  $A_c^c$  and  $A_t^c$  is maintained over the entire cis-stilbene spectral absorption range was established via the PCA-SM resolution of fluorescence excitation spectra.<sup>8b,c</sup> A preliminary report of this work has appeared.8b Thus all our observations lead to the conclusion that a small but significant fraction of <sup>1</sup>c\* molecules reach <sup>1</sup>t\* via an excited-state pathway. As will be shown below, sources of this emission involving formation of <sup>1</sup>t<sup>\*</sup> from ground state <sup>1</sup>t are also inconsistent with the T independence of  $\phi_{ft}^{c}$  values (Table V).

**Radiative Rate Constants.** Empirical radiative rate constants,  $k_t$ , can be based on eq 4 provided that fluorescence lifetimes,  $\tau_t$ .

$$k_{\rm f} = \Phi_{\rm f} \tau_{\rm f}^{-1} \tag{2}$$

and quantum yields are determined under the same conditions. For strongly allowed transitions a theoretical calculation of  $k_{\rm f}$  can be based on the Strickler-Berg equation,<sup>39</sup>

$$k_{\rm f} = 2.889 \times 10^{-9} n^2 \int_0^\infty \mathbf{f}(\bar{\nu}) \,\mathrm{d}\bar{\nu} \Big( \int_0^\infty \mathbf{f}(\bar{\nu}) \bar{\nu}^{-3} \,\mathrm{d}\bar{\nu} \Big)^{-1} \int_0^\infty \frac{\epsilon(\bar{\nu}) \,\mathrm{d}\bar{\nu}}{\bar{\nu}}$$
(3)

where  $f(\nu)$  and  $\epsilon(\nu)$  are the fluorescence intensity and the molar absorptivity at frequency  $\nu$  in cm<sup>-1</sup>. Prior to this work, two estimates of the radiative rate constant for the  $S_1 \rightarrow S_0$  transition of  ${}^{1}c^{-}d_0$  were available. The first,  $k_{\rm f} = (1.64 \pm 0.05) \times 10^8 \, {\rm s}^{-1}$ ,



Figure 9. The emission spectrum of a pure *cis*-stilbene solution in C<sub>6</sub> (smooth curve) obtained with  $\lambda_{cxc} = 269.6$  nm, expt 1a (corrected for trans impurity and trans build up), compared with the spectrum obtained with  $\lambda_{exc} = 338.0$  nm, expt 3a (O); the lowest curve is pure component 'c\* fluorescence.

is based on the 4.7-ns lifetime of <sup>1</sup>c\* at 77 K in 3-methylpentane glass<sup>29</sup> and  $\phi_{fc} = 0.75 - 0.79$  obtained under similar conditions.<sup>19,20</sup> The second,  $k_{\rm f} \leq 5.8 \times 10^7 \, {\rm s}^{-1}$ , is based on the 17.2-ns lifetime of  ${}^{1}c^{*}-d_{0}$  fluorescence for *cis*-stilbene in an Ar cluster under jet-cooled conditions assuming  $\phi_{fc} \leq 1.0.^7$  The most recent femtosecond-pulse excitation measurements of the lifetime of  $1c^*$  in  $C_6$  are in excellent agreement, giving  $\tau_f = 1.05 \pm 0.05$  ps (transient absorption decay at 650 nm) at ambient temperature<sup>23b</sup> and  $\tau_f = 1.03 \pm 0.15$  ps (fluorescence up-conversion) at 293  $\pm 1$  K.<sup>24</sup> Our interpolated  $\phi_{fc}^{c} = 8.30 \times 10^{-5}$  for C<sub>6</sub> at 293 K (see below), together with the average  $\tau_f = 1.04$  ps, gives  $k_f = 8.0 \times 10^7$  s<sup>-1</sup>. The difference in fluorescence lifetimes of  ${}^{1}c^{*}-d_{0}$  of 1.38 ± 0.10 and 1.52  $\pm$  0.05 ps obtained for  $\lambda_{em}$  = 400 nm and 430 nm, respectively, at  $293 \pm 1$  K in C<sub>14</sub> is probably not significant since the corresponding lifetimes for  ${}^{1}c^{*}-d_{2}$ , 1.72  $\pm$  0.15 and 1.75  $\pm$  0.10 ps show no  $\lambda_{em}$  dependence.<sup>24</sup> Though a lifetime of  ${}^{1}c^{*}-d_{0}$ in C<sub>14</sub> was not reported in the transient absorption studies,<sup>23b</sup> values obtained in  $C_{12}$  and in  $C_{16}$  are much more consistent with the 1.52-ps value. Using 1.52 ps and 1.73 ps as  $\tau_f$  for  ${}^1c^* \cdot d_0$  and  ${}^1c^* \cdot d_2$ , respectively, our extrapolated  $\phi_{fc}^c$  values give  $k_f = 8.7 \times 10^7 \text{ s}^{-1}$  and  $8.2 \times 10^7 \text{ s}^{-1}$  in  $C_{14}$  at 293 K, in the same order.

A theoretical  $k_f = 1.40 \times 10^8 \text{ s}^{-1}$  for both  ${}^{1}c \cdot d_0$  and  ${}^{1}c \cdot d_2$  in C<sub>6</sub> at 30.0 °C was obtained using the Strickler-Berg equation. The discrepancy between calculated and experimental  $k_f$  values is not surprising since the theoretical expression is not valid for molecules that have substantially different equilibrium geometries in S<sub>1</sub> and S<sub>0</sub> states.<sup>39</sup> Experimental  $k_f$  values are summarized in Table VI. Actually these different  $k_f$ 's are in reasonably good agreement when differences in *n* are taken into account. Generally, the *n* dependence of the radiative rate constant can be expressed as

$$k_{\rm f} = k_{\rm f}^0 n^x \tag{4}$$

where  $k_{f}^{0}$  is its value in the gas phase under isolated molecule

**Table VII.** Activation Parameters for  ${}^{1}C^{*} \rightarrow {}^{1}p^{*a}$ 

medium/stilbene	T range, K	$E_{cp}^{a}$ , kcal/mol	$\Delta S_{\rm v}/R + \ln \left(A_{\rm c}/k_{\rm f}^0\alpha_{\rm cp}\right)$	$E_{\eta}$ , kcal/mol	$\ln A_{\eta}$
$\overline{C_{6}, c - d_{0}, c - d_{2}}$	263.4-330.8	0.33(8)	27.80(13)	1.606(7)	-3.923(11)
$C_{14}, c - d_0$	303.2-356.4	1.90(8)	30.09(12)	3.277(20)	-4.801(30)
$C_{14}, c - d_2^{b}$	303.2-346.4	1.86(15)	29.95(24)	3.277(20)	-4.801(30)
MCH/MCP, $c-d_0$	103.2-123.2	4.23(14)	39.83(63)°	5.97(24)	-17.906(1094)

<sup>a</sup> Values in parentheses are uncertainties in last significant digit(s) shown; viscosities in cP from ref 46 for C<sub>6</sub> and C<sub>14</sub> and from refs 47 and 48 for MCH/MCP. <sup>b</sup>Treatment of  $k_{cp}$  excludes values for 312.8 and 352.7 K.  ${}^{c}k_{cp}\alpha_{cp} = 7.55 \times 10^{7} \text{ s}^{-1}$  was used based on effective  $\alpha_{cp}$  and  $k_{f}$  values of 0.87 and 8.7 × 10<sup>7</sup> s<sup>-1</sup>, respectively; see text.





conditions for which n = 1, and x is expected to be close to 2 as predicted by the Strickler-Berg relationship.<sup>39,40</sup> A more complex theoretical expression for the *n* dependence of  $k_f$ , proposed by Shibuya,<sup>41</sup> predicts a somewhat lower effective x value, 1.7–1.8, for molecules such as meso-substituted anthracenes and *trans*stilbene for which empirical tests of eq 6 are available.<sup>12,40,42–45</sup> Our recent analysis of  $\phi_f$  and  $\tau_f$  values for *trans*-stilbene in C<sub>5</sub>, C<sub>6</sub>, and C<sub>14</sub> over a wide T range yielded  $x = 1.65 \pm 0.08$ .<sup>12</sup> Also shown in Table VI are  $k_f^0$  values estimated assuming x = 1.65and 2. The predicted radiative lifetimes under isolated molecule conditions 21.2 and 23.6 ns, respectively, are in reasonable agreement with the observed lifetime, 17.2 ns, for jet-cooled  ${}^{1}c \cdot d_{2}^{*}$ in Ar clusters. If n = 1.0 for the Ar clusters, exact agreement would be achieved for x = 1.65 and x = 2 if  $\phi_{fc}^{c} = 0.81$  and 0.73, respectively, under those conditions.

Analysis of the *T* Dependence of  $\phi_{fc}^{c}$ . It is well established that fluorescence from  ${}^{1}c^{\bullet}$  in fluid solution competes with two efficient radiationless reaction modes, one leading to *trans*-stilbene and the other to 4a,4b-dihydrophenanthrene, DHP. Extensive pho-



DHP

tochemical studies have been reviewed and are consistent with the fractional contributions of decay paths shown in Scheme I.<sup>8b</sup> The assumption in Scheme I that <sup>1</sup>p\* and <sup>1</sup>DHP\* are true intermediates in the pathway to <sup>1</sup>t and DHP products has been questioned recently, since femtosecond-transient experiments suggest extremely rapid formation of ground-state products. For the purposes of this section, the detailed pathways to products are unimportant. The isomerization processes

$${}^{1}c^{*} \xrightarrow{k_{cp}} {}^{1}p^{*}$$
 (5)

$${}^{1}c^{*} \xrightarrow{\kappa_{\alpha}} {}^{1}DHP^{*}$$
 (6)

can be understood as the radiationless, irreversible pathways that commit  ${}^{1}C^{*}$  to  ${}^{1}t$  and  ${}^{1}DHP$  products, respectively. The analysis that follows relies on observations by Fischer and co-workers showing that in hydrocarbon solution the quantum yields of the

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Figure 10. Arrhenius plots of  $k_{cp}$  in C<sub>6</sub>, squares, and C<sub>14</sub>, circles, for cis- $d_0$ , open symbols, and cis- $d_2$ , closed symbols.

two products are independent of T for  $T \ge 253$  K.<sup>6c</sup> Since the work reported here was carried out at higher T's, it follows that the fraction of  ${}^{1}c^{*}$  molecules that follow the relaxation pathway leading to  ${}^{1}t$  is  $\alpha_{cp} = k_{cp}/(k_{cp} + k_{cd}) = 0.70$ , independent of T. It has been pointed out that when radiative decay competes with barrierless reactions, such as eq 5 and 6, the fluorescence quantum yield may not provide any direct information about the nonradiative decay rates.<sup>1c</sup> Use of the common definition of

$$\phi_{\rm fc}^{\rm c} = \frac{k_{\rm fc}}{k_{\rm fc} + k_{\rm cp} + k_{\rm cd}} \tag{7}$$

is justified in this case since  $\phi_{fc}^c$  is independent of  $\lambda_{exc}$ , as is the decay rate of <sup>1</sup>c<sup>\*</sup> which is strictly monoexponential.<sup>23,24</sup> Accordingly, rate constants for the <sup>1</sup>c<sup>\*</sup>  $\rightarrow$  <sup>1</sup>p<sup>\*</sup> process are given by

$$k_{\rm cp} = k_{\rm fc} \alpha_{\rm cp} \left( \frac{\phi_{\rm fc}^{0} - \phi_{\rm fc}^{c}}{\phi_{\rm fc}^{0} \phi_{\rm fc}^{c}} \right) \tag{8}$$

where  $0.75 \le \phi_{fc}^{0} \le 1$  is the limiting fluorescence quantum yield at very low *T*, and  $k_{fc}$  is given by eq 4 with x = 1.7.<sup>6c,19,20</sup> For the very small  $\phi_{fc}$  s reported here, eq 8 reduces to

$$k_{\rm cp} = k_{\rm fc} \alpha_{\rm cp} / \phi_{\rm fc}^{\rm c} \tag{9}$$

since the  $\phi_{fc}^{c}$ 's are negligible compared to  $\phi_{fc}^{0}$ . Rate constants  $k_{cp}$  based on eq 9 with  $k_{f}^{0} = 4.7 \times 10^{7} \text{ s}^{-1}$  and x = 1.65 in eq 4 are independent of deuterium substitution in C<sub>6</sub>, but show a small deuterium effect in C<sub>14</sub>. The rate constants for  ${}^{1}c$ - $d_{0}$  and  ${}^{1}c$ - $d_{2}$ , treated together for C<sub>6</sub> and separately for C<sub>14</sub>, were plotted according to the Arrhenius equation,

$$k_{\rm cp} = A_{\rm cp} e^{-E_{\rm cp}^{a}/RT} \tag{10}$$

Figure 10, and give the activation parameters in Table VII.

<sup>(46)</sup> American Petroleum Institute. Selected Values of Physical and Thermodynamic Properties of Hydrocarbons and Related Compounds; Carnegie Press: Pittsburgh, PA, 1953.

Since this work extends the observations of Fischer and colleagues<sup>6c,19,20</sup> from the high-viscosity to the low-viscosity regime, it was desirable to quantitatively relate their observations to our own. The fluorescence quantum yields of Sharafi and Muszkat in methylcyclohexane/methylcyclopentane, MCH/MCP (1:1), were selected for this purpose.<sup>19</sup> The treatment was confined to the 123.2–103.2 K region for which  $\phi_{fc}$  increases monotonically from 0.012 to 0.25,<sup>19</sup> and for which experimental shear viscosities,  $\eta_s$ , are available<sup>47,48</sup> and adhere reasonably well to the Andrade equation,49

$$\eta_{\rm s} = A_{\eta_{\rm s}} e^{E_{\eta_{\rm s}}/RT} \tag{11}$$

where  $E_n$  is the activation energy for viscous flow. Since the exact value of  $\phi_{fc}^{0}$  is unknown, avoiding the lower T region has the advantage of making the treatment insensitive to the  $\phi_{fc}^0$  value selected. One complication remains because in the selected Tregion both product quantum yields are T dependent and  $\alpha_{cp}$  must vary.6c In the hydrocarbon mixture methylcyclohexane/2methylpentane (2:1) for which nearly identical  $\phi_{fc}^{c}$  values have been reported as in MCH/MCP near the glass transition in the T region of interest, the cis  $\rightarrow$  trans quantum yield,  $\phi_{ct}$ , drops from 0.30 to 0.17, while the corresponding drop in the cis  $\rightarrow$  DHP quantum yield,  $\phi_{cd}$ , is from 0.03 to 0.012.<sup>6</sup> Based on Scheme I, we estimate that the corresponding change in  $\alpha_{cp}$  is from 0.85 to 0.89. Since, furthermore, the index of refraction change is less than 1%,<sup>50</sup> it seems prudent to assume that both  $k_{\rm fc}$  and  $\alpha_{\rm cp}$  are essentially constant. The plot of ln  $[(\phi_{fc}^{\ 0} - \phi_{fc}^{\ c})/\phi_{fc}^{\ 0}\phi_{fc}^{\ c}]$  versus  $T^{-1}$  is linear and gives activation parameters that are included in Table VII.

The Medium Effect on  $k_{cp}$ . An excellent review of theoretical treatments of medium and T effects on barrierless reactions is available.1c An important theoretical prediction is a crossover from an apparent negative T dependence of the rate constant at small viscosity to positive activation energy at higher viscosity.1c Experimental verification of this prediction has been claimed most notably in studies of the radiationless decay rate constants of electronically excited triphenylmethane dyes, such as crystal violet, CV, in the *n*-alcohol solvent series.<sup>52,53</sup> In seeking the intrinsic



activation energy of the torsional relaxation process, the usual practice has been to use a homologous solvent series and vary the temperature in order to determine decay rate constants at constant shear viscosity. The activation energy is then obtained from the slope of isoviscosity Arrhenius plots. $^{51,52}$  The identical procedure has also been employed for cases, such as the trans  $\rightarrow$  cis photoisomerization of stilbene, that are subject to a positive intrinsic barrier.<sup>1,5c</sup> Such plots are based on the assumption that the friction coefficient,  $\xi_r$ , experienced by the twisting portion of the molecule is proportional to  $\eta_s$  of the medium in accord with the Stokes-Einstein hydrodynamic equation.<sup>5c</sup> The use of shear viscosity in this approximation has been shown to be a major source of failures

of the Kramers' equation<sup>53</sup> in accounting for the medium and temperature dependencies of the rate constants for barrier crossing in the  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  direction.<sup>5c</sup> Much better agreement between experiment and theory is achieved when an effective viscosity<sup>54</sup> or a microviscosity,  $\eta_{\mu}$ , based on a molecular probe<sup>5b,55-57</sup> of the viscosity are employed instead of  $\eta_s$ <sup>5</sup> A corollary to these findings is that the search for intrinsic activation energies should be based on isomicroviscosity rather than on isoviscosity Arrhenius or transition-state plots. 1b, 5b,c

An alternative approach to accounting for medium and T effects on radiationless decay processes that involve a significant change in solute geometry is the medium-enhanced barrier model. 1b, 5a, 35 This model has been applied successfully to the  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  decay process in *trans*-stilbene<sup>5a,35</sup> and should in principle be applicable to barrierless processes such as  ${}^{1}C^{*} \rightarrow {}^{1}p^{*}$ .<sup>58</sup> According to this model the overall torsional relaxation process can be represented bv

$$(^{1}c^{*}S) \stackrel{k_{s}}{\longleftrightarrow} (^{1}c^{*}S') \stackrel{k_{c}}{\longrightarrow} (^{1}p^{*}S')$$
 (12)

where S designates solvent cages that restrict rotation and S' designates solvent cages that do not restrict rotation. The two solvent cage populations are assumed to interconvert rapidly compared to  $k_c$  and are related by equilibrium constant  $K_c$ . The effective decay rate constant is given by  $k_{cp} = K_s k_c/(1 + K_s)$  which reduces to  $k_{cp} = K_s k_c$  for  $K_s \ll 1$ . The analogous reduced form was applied for the <sup>1</sup>t<sup>\*</sup>  $\rightarrow$  <sup>1</sup>p<sup>\*</sup> process, and, though the relaxation time scales are different, this limit will tentatively be applied to  ${}^1\!c^{\hspace*{-0.5mm}*} \rightarrow {}^1\!p^{\hspace*{-0.5mm}*}.$  Since the  ${}^1\!c^{\hspace*{-0.5mm}*} \rightarrow {}^1\!p^{\hspace*{-0.5mm}*}$  process is close to the low-frequency reaction mode limit for which transition-state theory predicts Arrhenius-type behavior,  $k_c$  is given by

$$k_c = A_c e^{-E_c^0/RT} \tag{13}$$

where  $E_c^{0}$  is close to the intrinsic barrier. It follows that

$$k_{\rm cp} = A_{\rm c} e^{\Delta S_{\rm v}/R} e^{-(E_{\rm c}^0 + \Delta H_{\rm v})/RT}$$
(14)

where  $\Delta H_v$  and  $\Delta S_v$  are the enthalpy and entropy changes associated with solvent cage interconversion. Comparison of eqs 10 and 14 shows that  $E_{cp}^{\ a} = E_c^{\ 0} + \Delta H_v$  and  $A_{cp} = A_c e^{\Delta S_v/R}$ . In cases where the viscosity obeys the Andrade equation, the relationship of  $\Delta H_v$  to  $E_n$  can be obtained by combining eqs 11 and 14

$$\ln k_{\rm cp} = \ln k_{\rm c} + \frac{\Delta S_{\rm v}}{R} + a \ln A_{\eta_{\rm s}} - a \ln \eta_{\rm s} \qquad (15)$$

where  $a = \Delta H_v / E_{re}$ . At constant T eq 15 has the form of the frequently discussed power law equation (the first equal sign)

$$k_{\rm cp} = \frac{k_{\rm c}B}{\eta_{\rm s}^{a}} = \frac{k_{\rm c}f^{a}B}{\eta_{\rm u}^{a}} \tag{16}$$

where B is a constant and  $0 \le a \le 1$ . Equation 16 was initially proposed as a semiempirical expression based on the free volume theory<sup>6b,19</sup> and was recently reintroduced as an empirical modification of the Smoluchowski limit (a = 1) of the Kramers' equation.<sup>59</sup> In the medium-enhanced barrier model a represents the fraction of the viscous flow activation energy that is experienced by the solute as an additional barrier. Actually, the use

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Figure 11. The dependence of  $E_{cp}^{a}$  on  $E_{\eta}$  ( $\bullet$ ) and the corresponding plot for crystal violet (
) based on activation parameters in ref 53a.

of  $\eta_s$  in eq 16 does not give a directly, but a function of a and  $T^{5c}$ . In fact, the usual practice of equating -a to the slope of  $a \ln k$ versus ln  $\eta_s$  plots at constant T underestimates a.5c,60 Multiplication of both numerator and denominator by  $f^{a}$ , where f is the microfriction factor that converts  $\eta_s$  to  $\eta_{\mu}$ , gives the analogous microviscosity expression shown after the second equal sign in eq 16, and a can be obtained from the slope of the ln k versus  $\eta_{\mu}$  plot provided certain well-defined conditions apply.<sup>5c</sup> For instance, in the case of trans-stilbene in the n-alkane solvent series the identical *a* value is obtained from the plot of  $\Delta H_{tp}^{\dagger}$  versus  $E_{\eta_s}$  as from the plot of  $\ln k_{tp}$  versus  $\ln \eta_{\mu}$  at constant  $T.^{5b,61}$  Furthermore, the enhanced barrier model gives the same  $\Delta H_1^*$  for the intrinsic barrier<sup>5a</sup> as do isomicroviscosity transition-state plots.<sup>5b,61</sup> The reason why the activation energy for viscous flow can be based on  $\eta_s$  instead of the more desirable  $\eta_{\mu}$  is that the microfriction factor f is only weakly T dependent and corrects mainly the preexponential factor in the Andrade equation.<sup>5c</sup>

A plot of  $E_{cp}^{a}$  versus  $E_{n}$  adheres to

$$E_{\rm cp}^{\ a} = E_{\rm c}^{\ 0} + aE_{\eta_{\rm s}} \tag{17}$$

giving  $E_{c}^{0} = -1.07 \pm 0.05$  kcal/mol and  $a = 0.89 \pm 0.01$  (Figure 11). The negative intrinsic barrier corresponds to a hypothetical hydrocarbon medium for which  $E_{\eta_i} = 0$ , consistent with the absence of a barrier, and it is reasonable that, as the only resistance to torsional displacement in <sup>1</sup>c\* comes from the medium, its radiationless decay experiences the activation energy for viscous flow fully, i.e.,  $a \simeq 1$ . Although these conclusions could be derived from the low-viscosity  $C_6$  and  $C_{14}$  data alone, it is gratifying that the high-viscosity data of Sharafi and Muszkat<sup>19</sup> are in agreement with our observations and that the treatment now extends over the wide viscosity range of 0.2 to  $10^{\circ}$  cP.

Negative activation energies for barrierless processes are consistent with theory<sup>1c,62</sup> and, as indicated above, have been found experimentally in torsional relaxation decay processes of dyes.51.52,63 For instance, Arrhenius isoviscosity plots for CV in the n-alcohol series,  $C_1-C_8$ , in the 0.6- to 8-cP range have yielded apparent activation energies that are nearly independent of the viscosity employed ranging from -1.73 to -2.51 kcal/mol.<sup>51</sup> However, when the *n*-alcohol series is extended to longer alkyl group chains,  $C_{10}$ - $C_{18}$ , the isoviscosity Arrhenius plots turn upward, suggesting a turnover to a positive activation energy.<sup>51,53</sup> Since rotational diffusion rate constants of molecules in long-chain alcohols show similar behavior,<sup>64,65</sup> we suspect that, to the extent that the friction coefficient of the solvent is the controlling factor, the turnover to a positive slope would be eliminated if isomicroviscosity plots were employed. In fact, an alternative microviscosity approach to our own,<sup>5c</sup> based on the theory of Gierer and Wirtz,<sup>66</sup> has been claimed to succeed in accounting for the medium effect on the torsional relaxation of triphenylmethane dyes.<sup>67</sup> However, the conclusions of this work are, to say the least, difficult to evaluate. At the very high medium-viscosity regions employed, biexponential decays were observed and the treatment was based on weighted lifetime averages whose physical significance is dubious. Furthermore, effective  $E_n$  values were used in medium regions where the Andrade plots show significant deviation from linearity (compare 1-propanol's  $E_{\eta_1} = 6.5$  kcal/mol from ref 70 with  $E_{\eta_2} = 4.3$  kcal/mol from ref 52a).

With the exception of methanol, the activation energies obtained for CV in the  $C_2$ - $C_{10}$  *n*-alcohols<sup>52</sup> are in accord with eq 17 (Figure 11), and give an intrinsic activation energy  $E_{cv}^{0} = -2.68 \pm 0.22$ kcal/mol and  $a = 1.02 \pm 0.04$ . It is especially significant that the activation energy in 1-decanol shows no deviation from eq 17, though individual decay rate constants in that solvent consistently deviate from isoviscosity plots.<sup>51,52</sup> The full imposition of the activation energy for viscous flow to the torsional relaxation process in CV is consistent with our observations on <sup>1</sup>c<sup>\*</sup> and suggests that this may apply generally to barrierless torsional relaxation pro-The deviation of the methanol point from the encesses. hanced-barrier model line in Figure 11 is not surprising since similar deviations have also been observed for  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  in trans-stilbene in methanol and ethanol.1b

There has been much discussion in the literature concerning the detailed nature of the <sup>1</sup>c<sup>\*</sup> to ground-state trans reaction coordinate. The possibility that the process may be multidimensional is generally proposed to account for a rapidly increasing array of spectroscopic evidence.<sup>7,23-25,68,69</sup> These complex reaction coordinates include the suggestion that the initial <sup>1</sup>c<sup>\*</sup> motions leading to trans isomer are along the reaction coordinate for photocyclization to DHP.7c It has also been speculated that out-of-plane C-H vibrations of the ethylenic moiety may play so significant a role, that the process may not be impeded strongly by solvent molecules.<sup>23b</sup> In fact, <sup>1</sup>c\* decay times in different solvents have been interpreted to show a weak medium-viscosity dependence<sup>124,25</sup> Whatever the complexities of the actual reaction coordinate, application of the enhanced barrier model to the observations shows it to be fully coupled to the medium. This would be more easily understood if motions of the phenyl groups play a significant role along the reaction coordinate. It should be noted that the notion that the  ${}^{1}C^{*} \rightarrow {}^{1}DHP$  pathway should be less sensitive to medium friction than the  ${}^{1}c^{*} \rightarrow {}^{1}t$  pathway,<sup>7c</sup> though intuitively pleasing, is not borne out by quantum yield measurements in hydrocarbon glasses.<sup>60</sup> The medium inhibits <sup>1</sup>c\*  $\rightarrow$  <sup>1</sup>DHP well before it affects <sup>1</sup>c\*  $\rightarrow$  <sup>1</sup>t.<sup>6c</sup>

Deuterium Isotope Effect on  $k_{cp}$ . An unusually large secondary deuterium isotope effect has been observed on the trans  $\rightarrow$  cis isomerization of the highly strained *trans*-1-phenylcyclohexene upon substitution of its vinyl-H.<sup>70</sup> This is, in part, due to an

<sup>(60)</sup> The power law equation has also been used to relate observed rate constants at different Ts in a single solvent to  $\eta_{v}$ . Comparison of eqs 15 and 16 shows that, since  $k_{v}$  varies with T, a more meaningful approach would be to plot In  $(k_{cp}/k_c)$  instead of In  $k_{cp}$  versus In  $\eta_c$  (ref 8c). (61) A more recent derivation of activation parameters for C<sub>5</sub>, C<sub>6</sub>, and C<sub>14</sub>

suggests that a for 't<sup>\*</sup>  $\rightarrow$  'p<sup>\*</sup> may have to be revised upward from 0.39 to 0.41 and ΔH<sub>1</sub> downward from 2.85 to 2.79 kcal/mol (ref 12). (62) Bagchi, B.; Fleming, G. R.; Oxtoby, D. W. J. Chem. Phys. 1983, 78,

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increase in the activation energy for this reaction from  $12.1 \pm$ 0.12 kcal/mol to 12.8  $\pm$  0.15 kcal/mol,  $k_{\rm H}/k_{\rm D}$  = 2.0 at room temperature.<sup>70</sup> It was proposed that the effect should be considered as quasi-primary since it probably reflects significant involvement of the out-of-plane C-H bend along the isomerization reaction coordinate.<sup>70</sup> It was predicted that large isotope effects should be found for other alkenes as well.<sup>70</sup> Indeed, a large effect,  $(k_{ipd_0}/k_{ipd_1}) = 1.5-1.6$  at room temperature, has been found on the  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  process in *trans*-stilbene which is subject to a much smaller effective activation energy. {}^{1b,8a,12,71} A study of the T dependence of  $\tau_f$  for t-d<sub>0</sub> and t-d<sub>2</sub> revealed no change in the activation energy for  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  in several solvents, including C<sub>6</sub>.<sup>71</sup> However, when the T dependence of fluorescence quantum yields is also considered and the *n* dependence of  $k_f$  is included in the treatment, the results suggest a slight increase in activation energy in C<sub>6</sub> and a somewhat larger increase in C<sub>14</sub>,  $\Delta E_{1p}^{a} = 0.32$  kcal/mol, upon deuteration of the olefinic positions.<sup>12</sup> It was of interest therefore to examine the analogous d-effect on the 1c\*  $\rightarrow$  1p\* process. While this work was in progress, a small but significant effect on the lifetime of  ${}^{1}c^{*}$  in  $C_{14}$  was observed upon deuteration of the olefinic hydrogens,  $(\tau_{fd_2}/\tau_{fd_0}) = 1.15 \pm 0.10$ at 20 °C.<sup>24</sup> It was suggested that this effect was an indication of intimate involvement of Ce-H vibrations along the reaction coordinate. Our results give  $(\phi_{fcd_2}^c/\phi_{fcd_0}^c) = 1.08 \pm 0.10$  in C<sub>14</sub> at 20 °C, which is, within experimental uncertainty, in very good agreement with the lifetime ratio. However, we discern no measurable d-effect on  $k_{cp}$  in C<sub>6</sub> where the effective reaction barrier is less than RT (Table VII). This suggests that the magnitude of the *d*-effect in olefin geometric isomerizations increases with increasing barrier height. The absence of a d-effect for  ${}^{1}c^{*} \rightarrow {}^{1}p^{*}$  in C<sub>6</sub> is certainly sensible since no tunneling or changes in zero-point energies should contribute when a process is essentially barrierless.

**The Energy of**  $^{1}p^{*}$ . The data in Table V give  $\phi_{ft}^{c}$  values for  $^{1}c-d_{0}$  and  $^{1}c-d_{2}$  in C<sub>6</sub> and in C<sub>14</sub> that are remarkably T independent. In contrast,  $\phi_{ft}$ , over the T ranges employed, changes by factors of 3-4 (Table V). The differences in the T dependence of  $\phi_{ff}$ and  $\phi_{ft}$  provides additional evidence against the involvement of ground-state trans-stilbene as the source of the fluorescence of <sup>1</sup>t<sup>\*</sup> in pure <sup>1</sup>c solutions. Since  $\phi_{ft}^{c} = \phi_{ct}\phi_{ft}^{t}$ , the *T* dependencies of the efficiencies of adiabatic <sup>1</sup>t<sup>\*</sup> formation,  $\phi_{ct}$ , must complement the T dependencies of  $\phi_{fl}$  hearly exactly (Table V). As  $\phi_{fl}$  and  $\phi_{ft}$  are independent of  $\lambda_{exc}$ ,  $\phi_{ct}$  must likewise be  $\lambda_{exc}$  independent, and  ${}^{1}c^{*} \rightarrow {}^{1}t^{*}$  does not measurably depend on the excess energy content of nascent  ${}^{1}c^{*}$ . This indicates very rapid vibrational relaxation of nascent  ${}^{1}c^{*}$ , and it may be relevant here that the relaxation channel of triphenylmethane dyes which, as pointed out above is barrierless, has also been found to be unusually efficient in transferring energy to the solvent bath.<sup>52a</sup>

The simplest pathway from <sup>1</sup>c\* to <sup>1</sup>t\* is along the potential energy surface for rotation about the central bond through the phantom singlet intermediate  ${}^{1}p^{*}$ . This mechanism gives  $\phi_{ct} =$  $\alpha_{cp}\phi_{pt}$ , where  $\phi_{pt} = k_{pt}\tau_p$  and  $\alpha_{cp} = 0.70$ ; see Scheme I. Assuming that the lifetime of  $p^{\pm}$ ,  $\tau_p$ , is T independent as has been demonstrated for the phantom triplet state,  ${}^{3}p^{*}$ ,  ${}^{72}$  the *T* dependence of  $k_{pl}$ , the rate constant for  ${}^{1}p^{*} \rightarrow {}^{1}t^{*}$ , is identical with the *T* dependence of  $\phi_{ct}$ . Furthermore, since under our conditions most 't\* return to 'p\*, 't\* and 'p\* can be considered to be in quasiequilibrium. It follows that <sup>1</sup>t\* and <sup>1</sup>p\* represent essentially isoenergetic regions on the  $S_1$  surfaces of stilbene- $d_0$  and  $-d_2$  in  $C_6$  and in  $C_{14}$ . Of course, more complex mechanisms can be imagined. For instance, the adiabatic  ${}^{1}c^{2} \rightarrow {}^{1}t^{*}$  process may occur via a higher energy twisted electronic state, <sup>1</sup>p\*\*, whose rapid decay to  ${}^{1}p^{*}$  competes with  ${}^{1}p^{**} \rightarrow {}^{1}t^{*}$ . However, in the absence of experimental evidence supporting other pathways, we prefer the simplest mechanism.



Figure 12. Schematic potential energy curves for the cis  $\Rightarrow$  trans reaction coordinate in different media.

The Shape of the Potential Energy Surface. Schematic potential energy curves for the cis  $\Rightarrow$  trans reaction coordinate in S<sub>1</sub> were constructed using the energies of <sup>1</sup>t<sup>\*</sup>, <sup>1</sup>p<sup>\*</sup>, and <sup>1</sup>c<sup>\*</sup> and the effective activation energies for torsional relaxation as anchor points (Figure 12). The energy difference between  $^{1}t^{*}$  and  $^{1}c^{*}$  is based in part on the corresponding ground-state energy difference for which the 4.6  $\pm$  0.1 kcal/mol value in aromatic media was employed.<sup>11</sup> The energies of the <sup>1</sup>c\* side of Figure 12 would be 1.1 kcal/mol higher if the ground-state enthalpy difference for the liquid trans  $\rightleftharpoons$  liquid cis equilibrium were employed instead.<sup>73</sup> Although we have followed the usual practice of giving the energy as a function of the torsional angle  $\theta$  about the central bond, exclusion of significant changes along other vibrational modes is not intended. The solid line in Figure 12 depicts the intrinsic energy surface in a hypothetical hydrocarbon solvent whose microfriction coefficient is zero,  $E_{\eta_i} = 0$ . We resisted the temptation to place  ${}^{1}c^{*}$ in a shallow inherent energy minimum as has been suggested to account for the long-lived  $1c^*$  fluorescence from jet-cooled  $1c-d_0$ in inert gas clusters<sup>7</sup> because we suspect that the barrier under these conditions is induced by the inert gas.<sup>74</sup> The dashed lines show effective potential energy curves in the different hydrocarbon media. These curves are consistent with the kinetics manifestations of the medium-enhanced barrier model which has received support in the theory of Dellinger and Kasha.<sup>58</sup> The calculation for aassumes that the same  $E_c^0$  applies to each hydrocarbon medium. Application of the Hammond postulate to the  ${}^{1}c^{*} \rightarrow {}^{1}p^{*}$  process suggests that the transition-state geometry should progressively move to larger torsional angles with increasing effective energy barrier.<sup>75</sup> If we adopt this view and let a = 1.00, then,  $E_c^{0}$  $E_{tp}^{a} - E_{\eta}$  is predicted to take the values -1.3, -1.4, and -1.7 kcal/mol in C<sub>6</sub>, C<sub>14</sub>, and MCH/MCP, respectively. This is shown schematically in Figure 12.

The Lifetime of 1p\*. An elegant demonstration of the involvement of <sup>1</sup>p<sup>\*</sup> as an intermediate that provides a significant bottleneck in trans  $\rightarrow$  cis photoisomerization has been described for the rigid stilbene analogue, trans-1,1'-biindanylidene, t-B.<sup>76</sup>



The key observation which led to assignment of a short-lived transient absorption at 351 nm to <sup>1</sup>p\*-B is the increase in the amplitude of this absorption that accompanies the decrease in

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medium viscosity.<sup>76</sup> Higher <sup>1</sup>p\* absorbance is observed in the lower viscosity solvents,  $C_6-C_{16}$  alkanes, at constant *T*, and at higher *T* in the same solvent consistent with the changes of feeding rates of <sup>1</sup>p\* from <sup>1</sup>t\*. Kinetics analysis of the evolution of the 351-nm absorption was consistent with a medium-independent lifetime of <sup>1</sup>p\*-B,  $\tau_p = 10 \pm 3$  ps.<sup>76</sup>

In the parent stilbene molecule, an early estimate of  $\tau_p = 3 \pm 2$  ps was based on the time evolution of transient absorption at 312.5 nm following excitation of  ${}^{1}c$ - $d_{0}$ .<sup>23a</sup> This was revised downward recently to  $\tau_p \leq 0.15$  ps.<sup>23b</sup> Based on our simple mechanism, a rough estimate of  $\tau_p$  can be obtained by setting  $k_{tp} = k_{pt}$ . This neglects any possible entropy difference between  ${}^{1}t^{a}$  and  ${}^{1}p^{a}$  and, based on the  $\phi_{ct}$  data in Table V, the activation parameters in ref 12 for  $k_{tp}$ , and  $\tau_p = \phi_{ct}/\alpha_{cp}k_{pt}$ , gives  $\tau_p = 0.26$  and 0.31 ps for  ${}^{1}p^{*}$ - $d_0$  in C<sub>6</sub> given in Table V is about 20% larger than the values obtained from flow-cell experiments 1a and 2a. Use of these more reliable values gives  $\tau_p = 0.21$  ps at 30 °C. If we assume that the deuterium isotope effect in the  ${}^{1}p^{*} \rightarrow {}^{1}t^{*}$  direction is identical with that in the  ${}^{1}t^{*} \rightarrow {}^{1}p^{*}$  direction.

the same procedure gives  $\tau_p = 0.40$  and 0.50 ps for  ${}^1c \cdot d_2$  in C<sub>6</sub> and in C<sub>14</sub>, respectively. This suggests that it should be easier to detect a bottleneck at  ${}^1p^*$  in transient decay kinetics of  ${}^1c^* \cdot d_2$ . An olefinic-position specific deuterium isotope effect was established previously for the decay of the twisted stilbene triplet,  ${}^3p^{*} \cdot {}^{2a,9,77}$ 

The lifetimes inferred for  ${}^{1}p^{*}$  are very close to the lifetime assigned by Greene et al. to isolated  ${}^{1}c^{*}$  in the gas phase,  $\tau = 0.32$  ps.<sup>78</sup> Since the  ${}^{1}c^{*} \rightarrow {}^{1}p^{*}$  process should be barrierless under these conditions, the rate-determining step for the observed transient decay may be the radiationless decay of  ${}^{1}p^{*}$  to the ground-state surface.

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## Tautomeric Equilibrium and Hydrogen Shifts of Tetrazole in the Gas Phase and in Solution

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Abstract: High-level ab initio molecular orbital calculations, using basis sets up to 6-311+G(2d,2p) with electron correlation incorporated at the quadratic configuration interaction [QCISD(T)] level, have been used to study the tautomeric equilibrium and hydrogen shifts of tetrazole in the gas phase and in solution. The solvent effects were investigated by self-consistent reaction field (SCRF) theory. Consistent with experimental observations, the 1H-tetrazole (1)/2H-tetrazole (2) tautomeric equilibrium is calculated to be strongly influenced by the surrounding medium. 2H-Tetrazole is the energetically preferred tautomer in the gas phase. In a nonpolar solution, both the 1H and 2H forms are predicted to exist in comparable amounts. However, in a medium of high dielectric constant the more polar 1H tautomer is the dominant species. The calculated free energy changes for tautomerization of 1*H*-tetrazole in the gas phase and in nonpolar ( $\epsilon = 2$ ) and polar ( $\epsilon = 40$ ) media are -7, 1, and 12 kJ mol<sup>-1</sup>, respectively. The molecular geometry, charge distribution, and vibrational frequencies of the polar 1H tautomer are found to be altered significantly in the presence of a solvent reaction field. Isomerization of 1 to 2, via a [1,2] hydrogen shift, requires an energy barrier of 207 kJ mol<sup>-1</sup> in the gas phase. 5H-Tetrazole (3) is predicted to lie 82 kJ mol<sup>-1</sup> above 1, due to its nonaromatic character. However, rearrangement of 3 to 1, via a [1,5] hydrogen shift, is inhibited by an activation barrier of 150 kJ mol<sup>-1</sup>. Conversely, the energy barrier for the rearrangement of 1 to 3 is 232 kJ mol<sup>-1</sup>, slightly larger than that required for the isomerization of 1 to 2. These results suggest that 3 is a good candidate for experimental observation. Inclusion of electron correlation leads to a drastic change in the molecular geometry of 3. At the MP2 level, an acyclic structure is predicted, while at the MP3 and QCISD levels the expected cyclic structure is found. The calculated molecular geometry of 1H-tetrazole at the MP2 level is found to differ significantly from the available solid-state structural data.

## Introduction

There has been considerable and continuing interest in the chemistry of tetrazoles.<sup>1</sup> In principle, three protomers of unsubstituted tetrazole are possible, namely 1H- (1), 2H- (2), and 5H-tetrazole (3) (Figure 1). Both 1 and 2 have been observed experimentally.<sup>2-6</sup> Results from X-ray crystallography unam-

biguously showed that tetrazole exists as the 1H tautomer (1) in the solid state.<sup>2</sup> In solution, there is an equilibrium between 1

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